

# Information Security Management in A Higher Education Institution Based on Standards, Legal Basis for the Optimization of Administrative Resources

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## Abstract

*Problems in managing information security for access to remote laboratories in higher education institutions are persistent worldwide. The objective of this research is to define alternatives to mitigate risks, vulnerabilities and threats in a remote laboratory for the management of information of students, teachers and administrators in a higher education institution. The deductive method and exploratory research were used with the application of seven phases defined by the authors. It resulted a simulation of the evaluation of the information security of a remote laboratory, an Architecture of a remote laboratory integrating virtual learning environments and a Model for the integration of information security in remote laboratories. It was concluded that information security analysis to mitigate risks, vulnerabilities and threats in a remote laboratory of a higher education institution is crucial to guarantee data protection, experiment management and integrity, confidentiality and availability (CIA). for information management of students, teachers and administrators; considering the optimization of administrative processes and the legal basis.*

**Keywords:** *Legal Basis, Administrative Processes, Remote Laboratories, Security and Privacy, Virtual Education, Information Security, Virtual Environments.*

## Introduction

Information security problems are persistent globally. In this research, information security for remote laboratories in higher education institutions is important and a priority for educational management to mitigate the risks, vulnerabilities, and threats in their processes. Educational remote laboratories are cyberphysical systems that offer students the opportunity to remotely access laboratory experiments through a computer network. These interconnected learning environments have the capacity to transform the way science and engineering education is delivered, thereby removing geographic and temporal barriers. Fundamentally, these laboratories are integrated into Learning Management Systems (LMS) through interoperability protocols or standards. This approach not only ensures a smooth transition between different platforms and applications, but also allows effective collaboration between various educational tools. Remote educational laboratories have learning analytics functionalities that allow the detailed monitoring and evaluation of educational processes. Learning analytics collects and analyzes student data to provide a clear view of their progress, allowing educators to personalize their teaching approaches to improve student performance. It is important to highlight that security and protection are of utmost importance in these applications. The educational nature and potential exposure to various risks and robust

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security measures must be implemented to protect the confidentiality, integrity, and availability of the system with the privacy of users. Consequently, safety and security aspects must be considered from the beginning of the design and implementation of remote laboratories problems in judicial management persist regarding the applicability of artificial intelligence (AI) systems. Currently, they have become a challenge as they lack legal foundation and legitimacy, given that to date, only a minimal number of countries have laws addressing the supervision, regulation, management, and control of the applicability of artificial intelligence systems. Below, we identify possible issues, advantages, and trends of AI in relation to judicial management:

According to a National Cyber Security Center (NCSC) report, the education sector is facing an increase in cybersecurity risks, threats, and vulnerabilities (Centre, 2021). It is important to mention that Microsoft Security Intelligence mentions that the educational sector has the highest risk of malware (Microsoft, 2023). Safety and security challenges in educational remote laboratories include intrusions through remote access, sabotage, and student/employee dissatisfaction due to a lack of adequate identification, authorization, authentication, and auditing (IAAA) risk analysis and the use of standardized risk matrices that can facilitate comparisons between laboratories, security of remote laboratories, security of virtual learning environments, security in analytical tools, and security in interoperability tools (Uckelmann, Mezzogori, Esposito, Neroni, Reverberi, Ustenko, et al., 2021). The main security issues are privacy faced by virtual learning environments, unauthorized access to educational data, misuse of data by cloud storage servers, and the need for security controls (Ben Amor et al., 2020). The authors mentioned the following security problems in virtual learning management systems: unauthorized access, data theft, inadequate authentication and password security, insecure communication channels, and insufficient data protection (Faculty, 2016). They identified issues that included the potential for cheating in testing systems, concerns about authentication and data security, and issues related to technology compatibility and reliability (Butler-Henderson & Crawford, 2020). During the Covid-19 pandemic, the main privacy security problems faced by virtual learning environments have been identified, including the disclosure of data without authorization, violation of private information, lack of secure communication, and unauthorized access (Almahasees et al., 2021). The main security and privacy challenges faced by virtual learning environments include data protection, risk of data breach, and identity theft (Singh & Sisodia, 2021). The main security and privacy issues facing virtual learning environments include unauthorized access to student information, data leaks and breaches, and the collection and use of confidential student information without proper consent or transparency (Ang et al., 2020). The authors of this research determined that there are academic dishonesty, problems in privacy and confidentiality, and a need for data protection are challenges that virtual learning environments (VLE) have (Darren Turnbull, 2021). Another problem is the lack of transparency and integrity of data in online education platforms to mitigate risks, such that the security of information has confidentiality, integrity, availability, and privacy (Wang et al., 2022). Learning management systems (LMS) face several privacy implications, including the protection of student data, the use of surveillance practices, and issues with sharing data with third parties, among others (Amigud et al., 2018). Key challenges and considerations that must be addressed when developing protection policies for management systems were identified (Turnbull et al., 2022). The authors defined the security and privacy challenges facing virtual learning environments as authentication, data protection, integrity, availability, and confidentiality (Salimovna, 2019). They established that remote laboratories face the following problems related to data security, access, and hacking attacks (Emilio Werner, Jhennifer Cristine Matias, 2023). Problems identified by the authors include viruses, malware, and impersonation of users remotely, as they may allow unauthorized access to laboratory systems and resources (Rivera & Petrie, 2016). In remote laboratories integrated with learning management systems (LMS), several solutions have been identified to address the security challenges posed in trust calculation, authentication methods, data manipulation, and dynamic architecture. These solutions highlight the need for a proactive and strategic approach for security in remote laboratories (Palka & Schauer, 2015). Cyber-physical systems are subject to a series of vulnerabilities that can compromise their security and effectiveness. First, exposing insecure devices to public networks can increase their susceptibility to external attacks, and common protocols can contain inherent vulnerabilities, backdoors, and gaps in the network perimeter that can be exploited by malicious actors (Asghar et al., 2019). Regarding analytical solutions, they stated that the main security and privacy challenges faced by virtual learning environments with respect to learning analytics are data privacy and knowledge of the adversary's background (Gursoy et al., 2017). The implementation of remote laboratories

has not been carried out in the majority of HEIs because the technological processes linked to academic processes are not yet clearly defined. Remote laboratories are used in educational environments for several reasons: when the equipment is not available where the student is, due to unavailable physical spaces, and availability of the physical presence of the teacher or student, among others (Rivera & Larrondo-Petrie, 2016). The transformation of the education system from face-to-face to online education through the Internet provides a hands-on learning experience for online students. A remote laboratory learning system offers the flexibility to be deployed in any physical environment (El-Haleem et al., 2023). The authors state that remote laboratory management is currently the basis for online education in engineering, administration, and other fields. They propose a novel architecture that simplifies the development and management of remote experiments using a communication paradigm between publisher and subscriber to integrate WebAssembly computational notebooks in a secure and efficient manner. In their analysis, they highlight both the advantages and challenges of the proposal, with a significant emphasis on interaction, scalability, reuse, interoperability, and accessibility (Vanegas-Guillén et al., 2023). They determine that the lack of adequate models based on information technologies for the management of administrative processes has caused immense damage to public and private companies, higher education institutions, among others. They state that one of the options to improve the management of administrative processes is the generation of a conceptual model to minimize risks, a risk control prototype, a flow chart of the prototype, a process simulation with five scenarios, determination of the formula to detect the probability of a company closing, analysis and evaluation of risks; this structure can be applied in the management of remote laboratories for the optimization of administrative processes (Altamirano et al., 2024). They state that the legal basis must currently be applied in all areas of ICT that are going to be implemented. The information generated in laboratories for their management must constitute mandatory elements of the formulation of policies and the drafting of laws (Mariusz MACIEJEWSKI, Policy Department for Citizens' Rights and Constitutional Affairs, 2024). The authors mention that all digital projects must be supported by a legal basis in order to protect user profile data at all levels. A key element of such a digital profile should be a digital consent system designed to transfer data from state registries to other persons in order to receive services from them based on the legal basis of the country where it is implemented (Bundin et al., 2023). The legal framework is currently necessary to apply to all projects related to information and communications technologies. They state that in Ecuador there are no clear laws to mitigate risks and vulnerabilities in the management of information security. They recommend applying the legal basis for all administrative processes generated by the implementation of technological solutions (Armas et al., 2024). Laboratories of higher education institutions must have information security management; for this, automated administrative processes are required. The authors recommend using a data matrix algorithm to design the new strategy for scientific information security in the university administrative management system with optimized processes (Wei, 2022).

Why is it necessary to perform the analysis for Information Security Management in a higher education institution based on standards, a legal basis for the optimization of administrative resources?

To determine alternatives that allow the mitigation of risks, vulnerabilities and threats in information management through virtual learning environment architectures, information security, remote laboratories, prototypes or models.

The objective of this research is to define alternatives to mitigate risks, vulnerabilities and threats in a remote laboratory for the management of information of students, teachers and administrators in a higher education institution.

The results were a simulation of the evaluation of the information security of a remote laboratory, an architecture of a remote laboratory integrating virtual learning environments and a model for the integration of information security in remote laboratories.

It is concluded that information security analysis to mitigate risks, vulnerabilities and threats in a remote laboratory of a higher education institution is crucial to guarantee data protection, experiment management and integrity, confidentiality and availability (CIA). for information management of

students, teachers and administrators; considering the optimization of administrative processes and the legal basis.

## Literature Review

Cloud-based educational platforms and remote laboratories (labs) enable remote access to lab equipment over the Internet and seamlessly pool and sharing resources (Crichigno et al., 2021). Remote laboratories allow the extraction of the data necessary to discover, design, acquire, and evaluate new knowledge. They defined three types of users: students, teachers, and administrators. The contribution of this study is the implementation of a remote thermal-fluid laboratory (Guillen et al., 2021). They have designed and built assets to enable physical engineering laboratories. Using this approach, they increased the capacity of the laboratory so that students could operate at their convenience and in remote locations; however, language limitations must be considered (Bolu, 2022). They offer a promising solution for integrating renewable energy and distributed generation into grids. Virtual and remote laboratories are widely accepted for conducting higher education experiments, and they have developed a web-based virtual laboratory for renewable energy microgrids that they use to teach renewable energy (Guo et al., 2022). They provide facilities that guarantee results of the same quality as physical models, reduce costs, and provide access to students who do not have access to the laboratories. They developed security and access control systems for remote laboratories using blockchain technology to standardize and update the security processes using new security technologies (Werner et al., 2021). To improve student experience, they proposed a method to integrate adaptive remote laboratories with virtual learning environments and automatically adjust experiments according to students' existing level of knowledge and experience (Rivera et al., 2017). They developed a system for student use in a remote-access mechatronics laboratory without human supervision. Students can monitor their learning in real time and receive evidence of success or failure through the gamification of their performance (MacHado et al., 2022). They built a remote control platform based on various programming languages and big data for construction, with free access to promote new teaching tools in classes (Ryan et al., 2013). They feature remote and virtual on-site laboratory models, each with its own set of advantages, and emphasize different learning objectives by using technology to simplify the design and operation of remote and virtual laboratories (Alsaleh et al., 2022). They analyzed and synthesized relevant research and studied the composition of remote laboratories. Students can visit remote laboratories. They determined a platform with tools for laboratory management to support interactions among students, teachers, and administrators (Amnuaysin et al., 2022). They evaluate the effective application model of teaching laboratories in a traditional research-oriented context but do not guarantee the quality of services in a real educational environment; remote laboratories are becoming a standard tool in educational environments (Aitor et al., 2022). They proceed with a theoretical analysis of learning with industrial automation technology and how remote laboratories are more efficient for students to obtain an innovative way to learn, even when they are not present in the classroom (Pereira & Felgueiras, 2020). Remote laboratories for engineering and instrumentation courses at the University of Edinburgh were created using the first iteration of a new open-source infrastructure. The authors concluded that skill-based and conceptualization learning interactions established for engineering laboratories are appropriate (Reid et al., 2022). The definition of a remote laboratory allows remote users to perform desired laboratory exercises and control laboratory equipment to develop and implement tools and experiments that facilitate access to competent academic training in science and engineering (Stefanovic et al., 2011). They implemented an experimental system in a remote laboratory as a resource for learning and teaching science in the future, which complements regular scientific experiments in schools (Tho & Yeung, 2018). They performed a systematic analysis and provided a reference for system implementation for the creation and development of remote laboratory systems for automated control that are suitable for remote use via the web, which is mainly concerned with teaching management concepts rather than developing software, resource sharing, and security (Leva & Donida, 2008). They describe their experience in remote laboratory control for teaching and research in the field of technology for regulation and evaluate the performance of the system using the capabilities of the remote laboratory platform they offer (Santana et al., 2013). The authors determined that remote laboratories are suitable for higher education processes that allow scalability, flexibility, and add value to educational processes. The laboratory architecture was based on a three-tier architecture (physical system, server, and client layers). The client layer was implemented using web

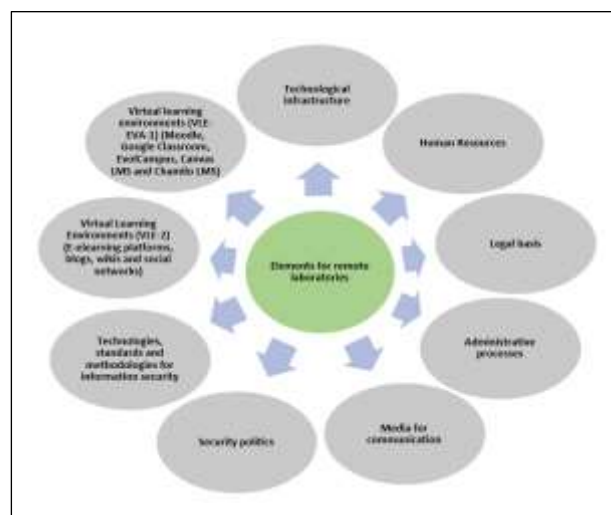
standards, such as HTML5, AJAX, and CSS. One of the results obtained by the researchers is the web interface of the classification cell (Prada et al., 2015). The authors conducted a study that included a literature review on remote laboratory security, investigated the existing requirements, and defined the operational requirements for a federated remote laboratory security guide. They then analyzed existing standardization approaches and guidelines, and proposed a guide that fits their requirements (Uckelmann, Mezzogori, Esposito, Neroni, Reverberi, & Ustenko, 2021). The authors proposed the development of a cybersecurity framework using advanced technologies such as artificial intelligence and deep learning to combat cyberattacks in remote laboratories (Vinodha et al., 2021). They designed a model to evaluate security in virtual learning environments (VLE) based on security criteria identified in manuals, norms, and standards. They focused their research on three main criteria: integrity, confidentiality, and the availability of information. They then analyzed metrics to quantify these relationships and validated their model by applying it to the Moodle and Dokeos VLE platforms, obtaining a qualitative and quantitative assessment of the security status of these environments (Callejas-Cuervo et al., 2016). The authors illustrated their analysis through a case study of the TeSLA project, evaluating how design decisions improve the efficiency and legal compliance with personal data protection. Furthermore, they proposed alternative designs that could address this issue (Kiennert et al., 2019).

## Methodology

### *First phase*

We identified problems in remote laboratories based, on a review of reference articles from the introduction phase. It is important to mention that, for the continuous improvement of remote laboratories, it is necessary to consider physical security, security of technological infrastructure, human resources, and media, among others, to mitigate risks, vulnerabilities, and threats to information management.

Below are the basic elements that should be considered in remote laboratories:



**Figure 1.** Basic Elements for A Remote Laboratory

In this phase, it is also important to note that the analysis of the main security and privacy problems must be conducted in virtual learning environments (VLE), remote laboratories, analytics tools, and interoperability tools.

*Second phase*

An analysis was performed to identify the security challenges in remote laboratories, learning management systems (LMS), virtual learning environments (VLE), cyber-physical systems, federated remote laboratories, and industrial control systems.

Security challenges in remote laboratories.

**Table 1.** Describes The Most Relevant Challenges of Information Security in Remote Laboratories

Security issues	Potential implications	Type	Ref.
Unauthorized access, data leakage, improper authentication and passwords.	Compromise of student privacy, interruption of the learning process, loss of trust in systems	VLE	(Faculty, 2016)
Lack of secure communication channels, insufficient data protection.	Potential data breach, risk of exposure of sensitive information.	VLE	(Faculty, 2016)
Technology compatibility and reliability issues, academic dishonesty	Possible risk of data manipulation, concerns about privacy and confidentiality.	VLE	(Butler-Henderson & Crawford, 2020) (Darren Turnbull, 2021)
Data privacy (VLE, LMS), information breach (VLE), secure communication missing (VLE).	Need for data protection, risk of loss of confidential information, interruption.	VLE LMS	(Almahasees et al., 2021) (Amigud et al., 2018)
Lack of efficiency, interaction, privacy, security, transparency, integrity and data risks (VLE).	Difficulty in data analysis, challenge for data privacy and security, theft prevention, among others.	VLE	(Wang et al., 2022)
Unauthorized access (VLE) integrated into LMS), data theft (LMS), communication risks (LMS).	Risk of data breach, loss of confidential information, possible exposure of student data in (LMS).	VLE LMS	(Palka & Schauer, 2015)
Insecure devices on public networks, invasive hardware attacks, replacement of PLCs with common computers.	Increased susceptibility to external attacks, compromised physical integrity of the system and increased number of cyberattacks.	Remote laboratories and cyber-physical systems.	(Asghar et al., 2019)

Hacking attacks, malware, data leaks, spam, malware intrusion, impersonation of users remotely, communication risks, network threats.	Interruption of services and loss of data, compromise of system integrity, unauthorized access to systems and resources, among others.	Remote Laboratories and Learning Management Systems	(Uckelmann, Mezzogori, Esposito, Neroni, Reverberi, Ustenko, et al., 2021) (Emilio Werner, Jhennifer Cristine Matias, 2023) (Rivera & Petrie, 2016)(Palka & Schauer, 2015)(Asghar et al., 2019)
Problemas de seguridad de los datos y acceso autenticado, credibilidad de acceso, robo de datos, etc.	Exposure of sensitive data and unauthorized access to resources, laboratories, etc.	Remote, federated laboratories, industrial control systems and LMS.	(Uckelmann, Mezzogori, Esposito, Neroni, Reverberi, Ustenko, et al., 2021) (Emilio Werner, Jhennifer Cristine Matias, 2023) (Rivera & Petrie, 2016)(Palka & Schauer, 2015)(Asghar et al., 2019)
Data manipulation and lack of counterattack tools.	Compromise of data integrity and degradation of the data warehouse	Remote laboratories and LMS.	(Palka & Schauer, 2015)

### *Third phase*

In this phase, the analysis of the most relevant information was carried out, referring to the possible solutions of information security and related topics of remote laboratories, learning management systems (LMS), virtual learning environments (VLE), systems cyberphysicists, federated remote laboratories and industrial control systems carried out by the different authors.

**Table 2. Analysis Of Possible Solutions Related to Remote Laboratories**

Solution to the proposal	Solution category	Potential impact	Ref.
Laboratory that integrates students, teachers and administrators	Remote laboratories.	Design, acquire and evaluate new knowledge.	(Guillen et al., 2021)
Physics engineering laboratories.	Remote locations	Limitation in language.	(Bolu, 2022)
Web-based virtual laboratories	Virtual laboratories.	Conduct higher education experiment.	(Guo et al., 2022)
Using blockchain technology	Remote laboratories	Security and access control systems.	(Werner et al., 2021)

Basic principles of pedagogy. They propose a method of integrating laboratories.	Adaptive remote laboratories with virtual learning environments.	Existing level of knowledge and experience of students.	(Rivera et al., 2017)
Remote access without human supervision.	Remote laboratories.	Real-time learning so you receive evidence of success and failure.	(MacHado et al., 2022)
Remote control platform based on programming	Remote laboratories.	Free access	(Ryan et al., 2013)
They determine a platform with tools for laboratory management.	Tools for remote laboratories.	Interactions between students, teachers and administrators	(Amnuaysin et al., 2022)
Open-source infrastructure.	Remote laboratories.	Skills and conceptualization.	(Reid et al., 2022)
Automated control that is suitable for remote use via the web.	Remote laboratories	Teaching management concepts for software development	(Leva & Donida, 2008)
The architecture is based on three levels (physical system layer, server layer and client layer).	Remote laboratories.	Scalability and flexibility	(Prada et al., 2015)
Security literature review to improve a remote laboratory	Federated remote	Security guide	(Uckelmann, Mezzogori, Esposito, Neroni, Reverberi, & Ustenko, 2021)
Design of a model to evaluate security.	Virtual learning environments (VLE).	Confidentiality, integrity and availability.	(Callejas-Cuervo et al., 2016)

#### *Fourth Phase*

We conducted an analysis of the information in Tables I and II, where it can be seen that the majority of remote laboratory solutions, including virtual learning environments, do not specify the security levels defined for the detailed management of processes and information. For mitigate risks, vulnerabilities, and threats based on confidentiality, integrity, and availability (CIA) under a scheme of identity, authenticity, authorization, audit (IAAA), and the use of new technologies.

In the analyses carried out in general, a lack of specification of security levels in remote laboratory solutions was identified, including in virtual learning environment laboratories. To mitigate risks, vulnerabilities, and threats, an approach based on confidentiality, integrity, and availability of information is required, supported by an identity, authenticity, authorization, and audit (IAAA) scheme. In addition, the use of new technologies must be considered for the two types of laboratories that are related, but each operates independently. Virtual learning environments (VLE), remote laboratories, analytical tools, and interoperability tools interact so that laboratories are adequate.



*Fifth Phase*

We analysed information directly related to the security of remote laboratories, as detailed below. An information security and physical security model for learning management in online laboratories, which considers laboratories to be cyber-physical systems (CPS) used for pedagogical purposes involving various security policies adapted to the e-learning environment with real computers on the other side of the screen, implies several potential weaknesses in the system, considering the policy-based security model. The authors proposed a security model with particularities in the educational context, seeking to preserve the security of users and equipment, and proposed a general abstraction that can be applied to different types of scenarios and technologies (Transactions, 2016). Remote laboratories are considered reliable alternatives to traditional practical laboratories and safety and security issues have become increasingly important. The authors provided guidance for evaluating the safety and security of federated laboratories by following the VDI/VDE 2182 guidelines (Uckelmann, Mezzogori, Esposito, Neroni, Reverberi, Ustenko, et al., 2021). The first level of the standard offers an online laboratory (lab) as a service (Lab as a Service or LaaS). The standard also defines methods for integrating online laboratories as intelligent learning objects into learning environments and object repositories. The authors recommend applying the IEEE Std 1876-2019 IEEE Standard for Networked Intelligent Learning Objects for Online Laboratories (IEEE Education Society, 2019).

*Sixth Phase*

In this phase, with the identification of problems that are persistent for remote laboratories in higher education institutions, according to the analysis of the information from the introduction and materials phase, a diagnosis is made to prepare the base architecture of a remote laboratory.

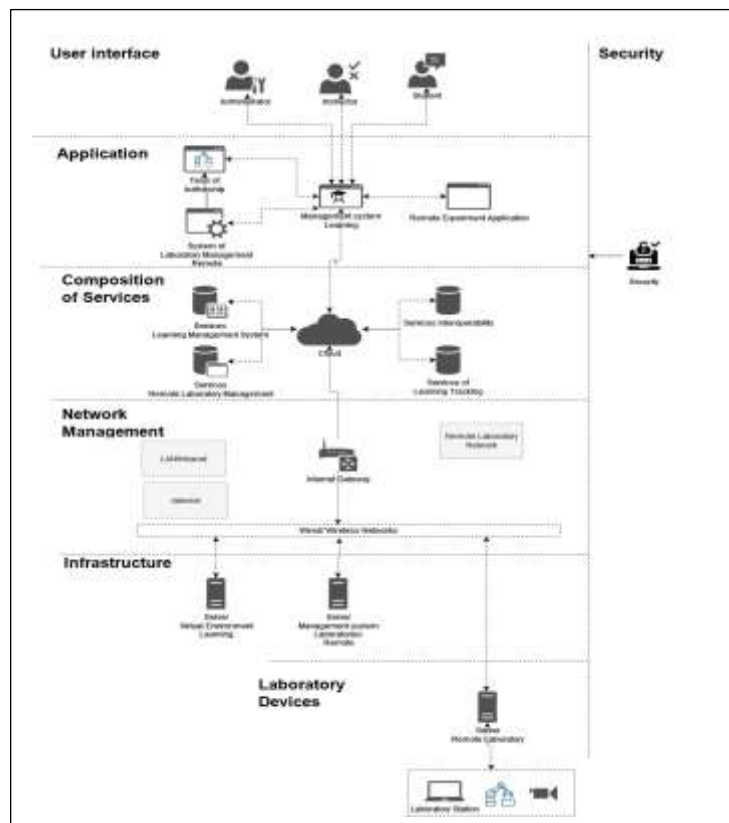


Figure 2. Definition of the Base Laboratory.

In Figure 2, the base architecture of the security of a remote laboratory is defined by the following structure: infrastructure, network management, composition of services, application, and user interface, as described below.

The infrastructure layer is composed of a virtual learning environment server, remote laboratory management system server, and remote laboratory server with its respective devices that allow the management of the laboratories to begin. The network management layer through the Internet/gateway allows us to connect to the next layer with the network of remote laboratories. The service composition layer through the cloud allows communication with the next layer to provide the following services: learning management system, remote laboratory management, interoperability, and learning monitoring. In the application layer of the learning management system, we communicate with the next layer for the use of authoring tools, remote laboratory management systems, and remote experimental applications. The administrator, instructor, and students intervene in the user interface layer to use the defined base laboratory infrastructure.

### *Seventh Phase*

In this phase, we define seven categories with their respective indicators, which will be used for different simulations and operations.

**Table 3.** Definition Of Categories with Their Indicators.

Ord.	Solution category	Indicators
1	Technical security and educational infrastructure.	Network protection, Updates, End user protection, Proper configuration, Security for integration, Definition of a TIER III, ISP Provider, Server, Bandwidth, Antivirus, Firewall, Information backup, System resilience.
2	Risk management and incident response.	Risk identification, Risk assessment, Risk mitigation, Incident preparation, Incident response, Recovery after incidents, Learning from incidents.
3	Information security and data privacy.	Personal data management, Information access controls applied by the IAAA, CIA Application, Data encryption, User consent, Privacy Impact Assessment, Learning Analytics Data Security.
4	Security and compliance management.	Governance policies, Strategic policies, Tactical policies, Operational policies, administrative policies, Compliance with security policies, Security audits, Conformity assessment, Interoperability tools, Security of learning analytics tools.
5	User participation and awareness.	Participation in security training, Understanding security policies, Compliance with security policies, Safety education, Interoperability Tools, Participation in the use of learning analytics tools, Awareness of privacy and data use in learning analytics.
6	Security of remote laboratory systems.	Secure access to equipment and systems, Security of control systems, Protection of remotely controlled physical equipment, Security in the use of interoperability tools, Data privacy and security in learning analytics.
7	Security Methodologies and Standards.	Standards Compliance, Blockchain-based security, Hyperledger Network, New technologies, ISO 27701, Cobit 2019, ISO 27001, IEEE Std 1876-2019, IEC 62443.

Table 3. Shows in general terms the categories and indicators based on the judgment of experts and information technology infrastructure, which must be considered to mitigate risks, vulnerabilities, and threats in information management, the same ones that can be used in the results phase for their respective simulation.

## Results

The results obtained in this research are:

- Simulation of the evaluation of the information security of a remote laboratory
- Architecture of a remote laboratory integrating virtual learning environments.
- Model for the integration of information security in remote laboratories.

### *Simulation of the evaluation of the information security of a remote laboratory*

The evaluation of the information security of a remote laboratory integrated into a virtual learning environment was carried out using interoperability and learning analysis tools applying the indicators defined in this research. These indicators cover areas such as technical security and educational infrastructure, risk management and incident response, information security and data privacy, security governance and compliance, user participation and awareness, and systems security, methodologies and standards for re-mote laboratories.

For the evaluation, three key dimensions were considered: the security of the indicator (S), probability of a security incident (p), and impact of a security incident (A). S rates the effectiveness of the security measures from 1 (indicating very poor security) to 5 (indicating excellent security). P estimates the probability of an incident occurring on the same scale, with 1 being very unlikely and 5 very likely.

Finally, (A) measures the possible consequences of an incident, with one representing minimal impact and five representing severe impact. Together, these indicators allow for a comprehensive and nuanced assessment of security, highlighting both the robust areas and those that require further attention.

### *Quantify laboratory safety*

To quantify laboratory safety, we propose a “loss” function  $L(S, p, A)$  that reflects the negative impact of a safety incident. This function considers the rating of the indicator (S), probability of an incident (p), and impact of the incident (A). A possible model for the loss function is the product of p, A, and the difference between the six and S:

$$L(S, p, A) = p * A * (6 - S) \quad (1)$$

### *Evaluate the Projected Average Loss*

To evaluate the projected average loss, labeled  $E[L]$ , resulting from security incidents in the system, we incorporated the values assigned to each of the three indicators: security (S), probability of an incident (P), and impact of an incident (A). This procedure is formulated as follows:

$$[L] \approx \frac{1}{N} * \sum_{i=1}^N L(S_i, p_i, A_i) \quad (2)$$

Where N represents the total count of indicators in each security category and the sum operation is performed for all indicators. This metric,  $E[L]$ , predicts the average loss expected owing to security incidents, thus providing an accurate quantitative assessment of the security level. A smaller value of  $E[L]$  denotes a higher level of security, implying a more robust and effective security implementation.

$$\mathbb{E}[L]_{normalized} = \frac{\mathbb{E}[L] - L_{min}}{L_{max} - L_{min}} \quad (3)$$

Below is a simulation of the evaluation that was carried out in excel, integrating all the categories and indicators, in graphic mode in order to visualize the entire process that was carried out to determine its applicability in the future.

Figure 3 presents the simulation evaluation of security indicators, expressed as normalized E, in the two prominent education institutions. This assessment is conducted by experts in the field, for compares the effectiveness of the security policies and practices implemented at each institution. Additionally, the minimum required values for E for each indicator are provided to ensure an adequate level of safety. This quantitative analysis allowed for an accurate assessment of the strengths and weaknesses of security measures implemented at each institution.

A quantitative study of information security in remote laboratories has revealed robust implementation across multiple domains. In particular, areas such as " educational technical, infrastructure security, and "security of remote laboratory systems" show acceptable levels of protection. However, "Security Methodologies and Standards" register slightly higher values, indicating the need for constant review and update. The obtained data, supported by empirical and verified data, are crucial for the design and implementation of effective security strategies in remote laboratory environments.

It was determined that in institution 1, all the indicators of the category "security methodologies and standards" exceeded the minimum with a score of 0.35. In institution 2, the sum of all the indicators of the category "security of remote laboratory systems" exceeds the minimum with a score of 0.32. After performing the respective evaluations of all categories with their respective indicators, it is recommended that for a laboratory to be adequate, the general average must be in the minimum range of 0.3, equivalent to 3.

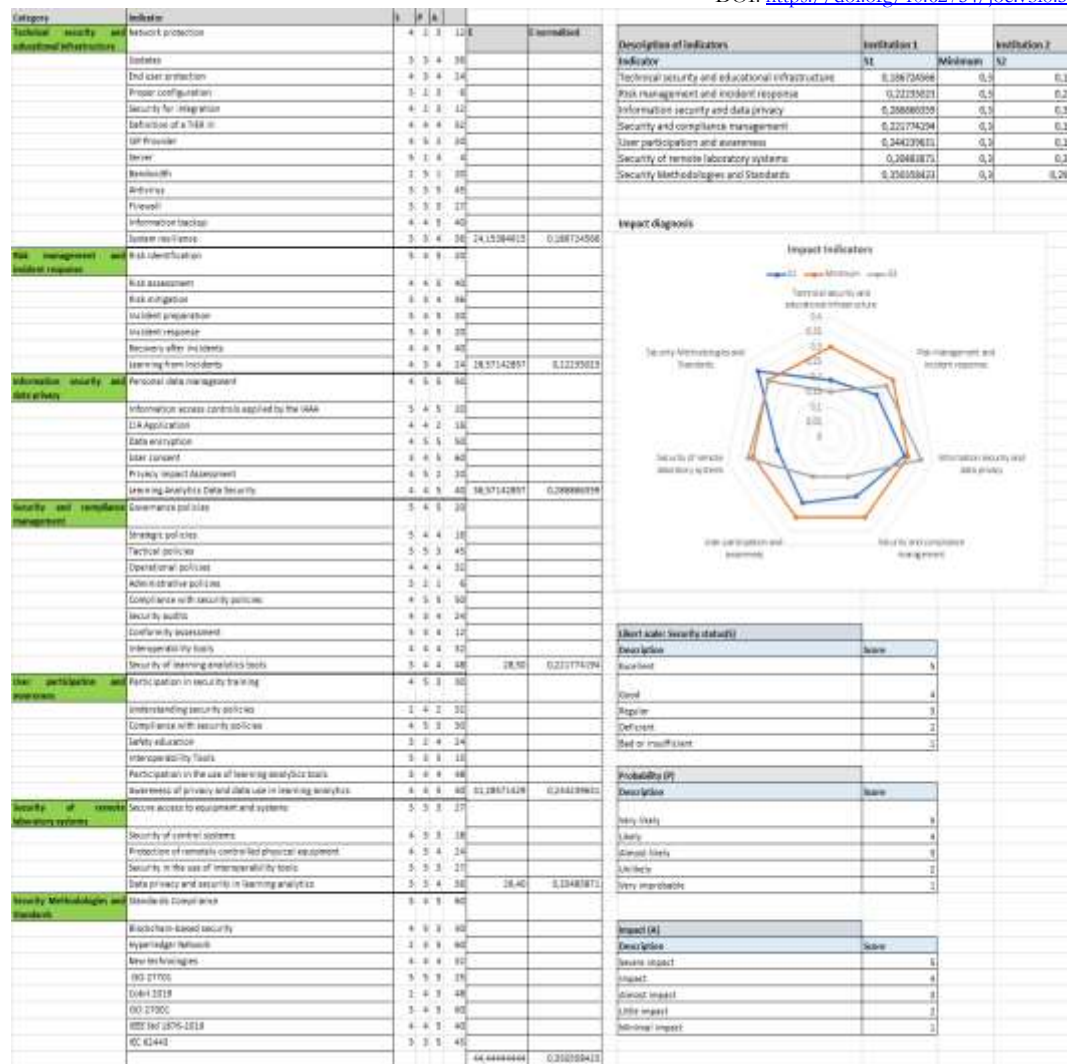


Figure 3. Evaluation Simulation Results

*Architecture of a remote laboratory integrating virtual learning environments*

We represent various elements and categories in a remote laboratory architecture that integrates virtual learning environments:

*Communications*

The communications are transverse and contain the following elements: Internet, Internet/Lan, firewall and network security, API, interoperability tools, learning tracking, web services, video tools, data synchronization services, and remote laboratory communication, which allow the interoperability of a remote laboratory.

*Security*

Security must be transversal for remote laboratories and include the following categories: security methodologies and standards, user participation and awareness, security management and compliance, information security and data privacy, risk management and response to incidents, security of remote laboratory systems, technical and educational infrastructure security, and mitigation of information management in a remote laboratory.

*Infrastructure*

The infrastructure, data, platform, services, processes, and user interface have a transversal interface of administrators, instructors, and students, where everything de-pends on the communication elements and security processes. These components work together to allow students to access content, participate in learning activities, and connect with friends and teachers. For remote laboratories, diagrams can show the different com-ponents and their relationships. In either case, diagrams can be useful for understanding the overall system architecture, identifying key interactions between components, and visualizing the flow of information in a virtual learning environment or remote lab experience.

*Architecture*

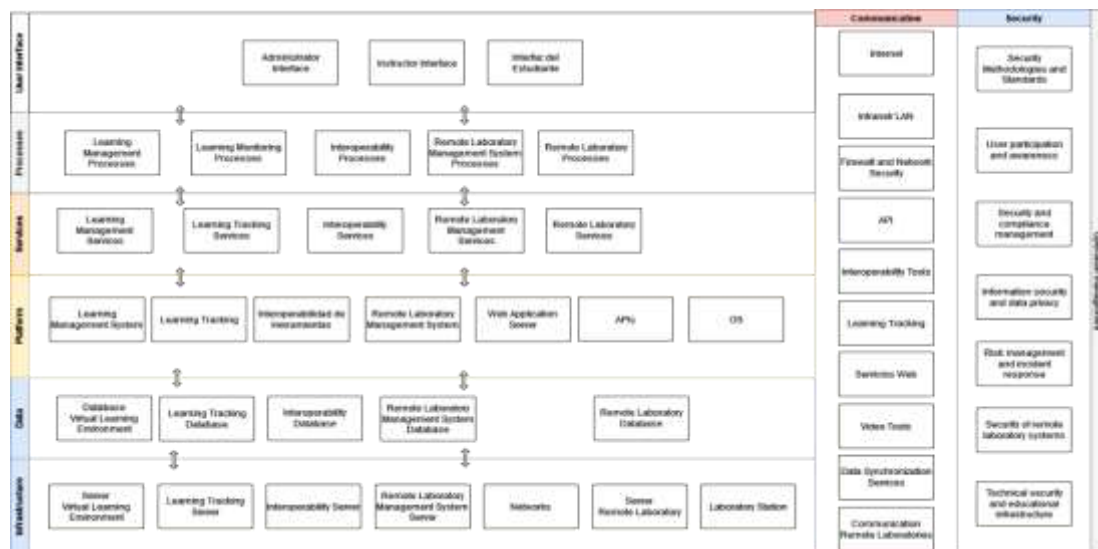
The architecture of a remote laboratory integrating virtual environments for learning is an alternative to identify possible improvements in the performance, security, accessibility and usability of these environments in a higher education institution.

*Diagram*

The block diagram made it possible to clearly identify the transversality for the management of learning of virtual environments and remote laboratories to mitigate risks, vulnerabilities and threats to information management in remote laboratories

*Remote laboratory project*

To define a remote laboratory project in higher education institutions, it is first necessary to carry out an “Information Security Analysis for a remote laboratory” to clearly identify its infrastructure and requirements prior to its execution and implementation.



**Figure 4.** Block Diagram of The VLE And Remote Laboratories

*Model for the integration of information security in remote laboratories*

*Technical Security and Educational Infrastructure*

Technical security and educational infrastructure, along with their respective indicators, allowed us to analyze the security of the networks, systems, and requirements of an information technology infrastructure to access the remote laboratory and determine the necessary and appropriate elements for this category.

*Risk Management and Incident Response*

Risk management and response to incidents that allow the evaluation of possible risks, threats, and vulnerabilities of a remote laboratory and a learning management system (LMS) for the development of a security and contingency plan that will allow for responses to incidents.

*Information Security and Data Privacy*

Information security and data privacy are aimed at protecting the personnel of students, administrators, teachers, and any confidential information associated with remote laboratory learning management systems (LMS) so that the management process of the information is with integrity.

*Security and Compliance Management*

The definition of security and compliance management allows the determination of security policies, application of regulations, tools to carry out the respective analyses, and execution of audits in compliance with international standards to guarantee the processes of a laboratory.

*User Participation and Awareness*

Participation and awareness of users such as students, administrators, and professors in the training programs defined by the higher education institution regarding remote laboratories and their entire environment to understand and comply with security policies and procedures are considered good practices.

*Security of Remote Laboratory Systems*

Security of remote laboratory systems: access, use of equipment, remote laboratory system control of systems, among others.

*Security Methodologies and Standards*

Security methodologies and standards: In this phase, security methodologies and standards directly related to remote laboratories, LMS, and VLE, among others, are de-fined through indicators.

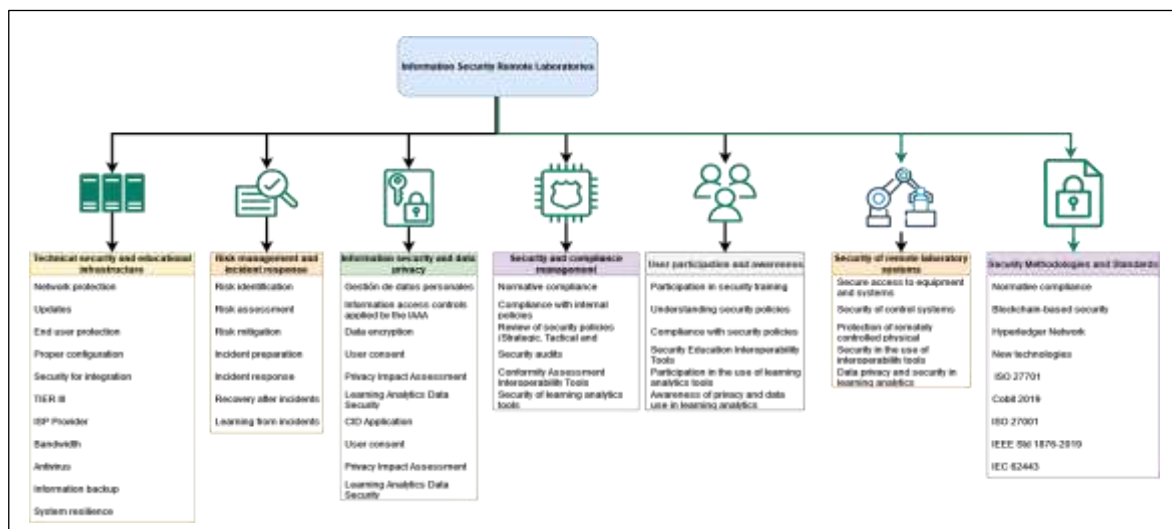


Figure. 5. Model For the Integration of Information Security of Remote Laboratories

In figure 5. The Model for the integration of information security in remote laboratories allowed us to clearly identify seven categories with their respective indicators directly related to information security.

## Discussion

The results obtained in this research are as follows: Simulation of the evaluation of the information security of a remote laboratory, Architecture of a remote laboratory integrating virtual learning environments and a Model for the integration of information security in remote laboratories, which allows us to consider educational institutions as an alternative to carry out the “Information Security Analysis for a remote laboratory’ in the planning and development phases of the project.

In this phase, a simulation was carried out for the evaluation of two higher education institutions using the categories with their respective indicators, however, their implementation has not taken place.

According to the research carried out by the authors of the references, the related works all make general contributions to the field of information security management and operations in virtual environments and remote laboratories. Our contribution in this research can be seen in the generation of a prior evaluation, definition of an architecture and a model for the security of remote laboratories integrated with virtual environments and the consideration that it is necessary to optimize the administrative processes and the legal basis.

The results obtained in this research are an alternative that can be used in the analysis phase and as a model and prototype for any higher education institution in Ecuador, Latin America, or the world, with similar implementation characteristics in remote laboratories and Management Systems. Learning environments (LMS) and Virtual Learning Environments (VLE) allow us to mitigate the risks, vulnerabilities, and threats in the management of information of students, teachers, and administrators.

Authors should discuss the results and how they can be interpreted from the perspective of previous studies and of the working hypotheses. The findings and their implications should be discussed in the broadest context possible. Future research directions may also be highlighted.

## Future Work and Conclusions

In the immediate future, research must continue so that the results obtained in this research can be used for the planning phase, definition of remote laboratory projects, and their respective implementation and validation of a remote laboratory supported by the optimization of administrative processes and the legal basis.

From the simulation of the evaluation carried out on two higher education institutions applying expert judgment and the Likert scale, it was concluded that they partially exceeded the minimum score defined at 0.3; only in two categories. In the category “security methodologies and standards” with a score of 0.35 the first institution (S1) and the second institution (S2) with a score of 0.30 considering all indicators. In the category “security of remote laboratory systems” the second institution (S2) with a score of 0.32, while the first institution (S1) with 0.20. With the results obtained in this evaluation, it can be seen that it is necessary for higher education institutions to implement all the categories with their respective indicators defined in this research to mitigate the risks, vulnerabilities and threats in information management.

That, the model for the integration of information security in remote laboratories obtained in this research is an alternative for the integration of the seven categories with their respective indicators that allow the mitigation of risks, vulnerability and threats so that management of the information of students, teachers and administrators is with confidentiality, integrity and availability (CIA) in compliance with the IAAA.



It was concluded that information security analysis to mitigate risks, vulnerabilities and threats in a remote laboratory of a higher education institution is crucial to guarantee data protection, experiment management and integrity, confidentiality and availability (CIA). for information management of students, teachers and administrators; considering the optimization of administrative processes and the legal basis

From the research carried out by other researchers based on the references noted in this article, none of the authors presented results similar to those obtained in our research; therefore, it is concluded that our contributions are new and different; the same ones are detailed below: Simulation of the evaluation of the information security of a remote laboratory, Architecture of a remote laboratory integrating virtual learning environments, and Model for the integration of information security in remote laboratories.

Finally, we conclude that for the technological infrastructure of a remote laboratory to function properly, it must additionally be supported by the optimization of administrative processes and a legal basis for its execution.

### Authors' Contributions

All authors collaborated in the process of elaboration of the article. Diego Andrade, Moises Toapanta and Pamela Toapanta Pavón worked mainly in the Introduction, Analysis of the administrative processes, legal basis, Summary and Review of all phases of the article and the Literature. Rodrigo Del Pozo Durango, Oswaldo Vanegas-Guillén and Zharayth Gómez mainly in the methodology, search for information and results and Roció Maciel A., Antonio Orizaga T., mainly in the selection of the validation scales, discussion and conclusions.

### Author Ethical Declarations

We confirm that the work has not been published elsewhere in any form or language

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**Conflict of Interest:** The authors state no conflict of interest.

### Declaration of Interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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